

**Republic of Tajikistan**

**DUSHANBE SUSTAINABLE URBAN  
DEVELOPMENT PROJECT (P179630)**

**Project Preparation Advance**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**July 24, 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Tajikistan (the Recipient) is planning to implement the proposed Dushanbe Sustainable Urban Development Project with the involvement of the State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city, for which it has requested a Project Preparation Advance (PPA), as set out in the PPA agreement. The International Development Association (hereinafter the Association) has agreed to provide the PPA to finance activities (the Activities) related to the preparation of the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Activities are carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. This ESCP is a part of the PPA agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted under the Activities, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during the implementation of the Activities, to reflect adaptive management of changes and unforeseen circumstances related to the Activities or in response to assessment of performance of the Activities. In such circumstances, the Recipient through the State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association monitoring reports on the environmental, social, health and safety (ESHS) performance of the Activities, including but not limited to the implementation of the ESCP, status of E&amp;S instruments under preparation, stakeholder engagement activities, log and status of any complaints received.</p>	<p>Submit quarterly reports to the Association throughout Project implementation, following the Effective Date. Submit each report to the Association no later than 15 days after the end of each reporting period.</p>	<p>State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on environment, affected communities, the public, or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious and/or multiple injuries, vehicle accidents, and environmental pollution.</p> <p>Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, including immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence</p>	<p>Notify the Association promptly and in no case later than 48 hours after learning of the incident or accident.</p> <p>Provide a subsequent detailed report to the Association within a timeframe acceptable to the Association.</p>	<p>State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Hire or appoint one Environmental Specialist and one Social Specialist to support management of ESHS risks and impacts of the Activities.</p>	<p>Hire or appoint the Environmental Specialist and the Social Specialist no later than 30 days after PPA effectiveness, and thereafter maintain these positions throughout the implementation of the PPA.</p>	<p>State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies and E&amp;S studies), capacity building, training and any other technical assistance activities under the Project are carried out in accordance with the terms of reference acceptable to the Association and are consistent with the applicable ESS. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout implementation of the PPA.	State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city
1.3	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>Hire an agency to undertake the ESIA for supporting the management of ESHS risks and impacts of the project during project effectiveness.</p>	Within 6 months of PPA effectiveness.	State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city
1.4	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>No civil works activities shall be financed under the PPA activities.</p>		
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>a) Provide workers with clear information regarding terms and conditions of their employment, including, rights related to hours of work, wages, overtime, compensation and benefits, written notice of termination of employment, details of severance payments, and grievance redress arrangements.</p> <p>b) Develop an occupational health and safety (OHS) plan (including personal protective equipment, and emergency preparedness and response), taking into account the General Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP) and, as appropriate, the industry specific EHSGs and other Good International Industry Practice (GIIP). Implement the OHS plan and measures accordingly.</p>	<p>Carry out all measures throughout implementation of PPA</p> <p>Develop the OHS plan no later than 6 months after PPA effectiveness.</p>	State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city & relevant contractors

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	<p>c) Implement measures, as applicable, to, inter alia: (i) prevent the use of all forms of forced labor and child labor; (ii) enable workers to benefit from, inter alia, access to grievance and redress mechanisms without fear of retaliation; and effective freedom to form and join workers organizations or alternative mechanisms for expressing their concerns and protect their rights related to labor and working conditions.</p> <p>d) Develop a code of conduct for workers, which shall include measures to prevent and respond to SEA and SH cases; and</p> <p>e) Incorporate the relevant requirements above in the ESHS specifications of the procurement documents and contracts with third parties that engage workers.</p>	Develop the SEA/SH code of conduct no later than 3 months after PPA effectiveness.	
<b>ESS 3</b>			
3	ESS 3 is not relevant for PPA activities. However, the terms of reference for the E&S instruments are consistent with the applicable ESS.		
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4	<p><b>GBV AND SEA/SH RISKS</b></p> <p>Prepare, adopt, and implement measures to address GBV and SEA/SH measures as a part of SEP.</p>	No later than 3 months after PPA effectiveness.	State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city
<b>ESS 5 to ESS 9</b>			
	ESS 5 through ESS 9 is not relevant for PPA activities. However, the terms of reference for the E&S instruments are consistent with the applicable ESS.		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b></p> <p>Prepare, adopt, and implement a Stakeholder Engagement Plan (SEP) for the PPA, in a manner consistent with ESS10, which shall include measure to, <i>inter alia</i>, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination or intimidation.</p>	<p>Disclose and adopt the SEP for the PPA no later than 3 months after PPA effectiveness, and thereafter, implement the SEP throughout implementation of PPA.</p>	<p>State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city</p>
10.2	<p><b>GRIEVANCE MECHANISM</b></p> <p>Receive and facilitate resolution of concerns and grievances in relation to the PPA, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all parties affected by the Activities, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p>	<p>Operationalize the grievance mechanism no later than 2 months after PPA effectiveness, and continue throughout implementation of the PPA.</p>	<p>State Unitary Enterprise “Smart City” under executive state authority of Dushanbe city</p>